**RULES ON TRANSBOUNDARY SHIPMENTS OF RADIOACTIVE WASTE AND SPENT FUEL**

**(JV11)**

**UNOFFICIAL TRANSLATION**

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Pursuant to the Ionising Radiation Protection and Nuclear Safety Act (Official Gazette of the Republic of Slovenia, No. 102/04 – official consolidated text and 70/08-ZVO-1B) Article 103, paragraph 3, the Minister of the Environment and Spatial Planning issues the following

**RULES**

**on Transboundary Shipments of Radioactive Waste and Spent Fuel**

**I. GENERAL PROVISIONS Article 1**

**(Contents)**

For the purposes of the implementation of the system of supervising transboundary shipments of radioactive waste and spent fuel, for the purposes of implementation of Commission Decision

2008/312/Euratom of 5 March 2008 establishing the standard document for the supervision and control of shipments of radioactive waste and spent fuel referred to in Council Directive

2006/117/Euratom (OJ L No. 107. 17.4.2008, p. 32; hereinafter referred to as Decision

2008/312/Euratom) and in accordance with Council Directive 2006/117/Euratom of 20 November

2006 on the supervision and control of shipments of radioactive waste and spent fuel (OJ L No. 337,

5.12.2006, p. 21; hereinafter referred to as Directive 2006/117/Euratom), these Rules lay down the following:

− the method of declaring shipments of radioactive waste and spent fuel;

− the method of notifying the competent authorities of other European Union Member States

(hereinafter referred to as Member States);

− the handling of multiple and transboundary shipments;

− the handling of shipments in their return from treatment or reprocessing;

− the means and deadlines for the reporting of shipments undertaken;

− the conditions concerning nuclear and radiation safety, and

− other conditions of the shipments in from other Member States, shipments out to other Member

States, import, export and transit of nuclear waste and spent fuel.

**Article 2**

**(Scope of application)**

(1) These Rules shall apply to transboundary shipments of radioactive waste or spent fuel whenever:

1. Slovenia is the country of origin, the country of destination or the country of transit; and

2. the quantities and concentration of the consignment exceed the levels laid down in Table 1 of the Annex to the Decree on Activities Involving Radiation (Official Gazette of the Republic of Slovenia, Nos. 48/04 and 9/06).

(2) These Rules shall also apply to the supervision of export shipments of spent fuel for reprocessing. (3) These Rules shall not apply to shipments of disused radioactive sources to a supplier or

manufacturer of radioactive sources or to a recognized installation.

(4) These Rules shall not apply to shipments of radioactive materials recovered, through reprocessing, for further use.

(5) These Rules shall not apply to transboundary shipments of waste that contains only naturally occurring radioactive materials which do not arise from practices.

**Article 3 (Definitions)**

The terms appearing in these Rules shall have the following meanings:

1. third country or Member State of origin means any third country or Member State from which a shipment is planned or sent;

2. third country or Member State of destination means any third country or Member State to which a shipment is planned or sent;

3. third country or Member State of transit means any third country or Member State other than the third country or the Member State of origin or the third country or the Member State of destination, through the territory of which a shipment is planned or takes place;

4. third country means a country other than a European Community Member State;

5. holder means any natural or legal person who, before carrying out a shipment of radioactive waste or spent fuel is responsible under the applicable national law for such materials and plans to carry out a shipment to a consignee;

6. spent fuel means nuclear fuel that has been irradiated in and permanently removed from a reactor core; spent fuel may either be considered as a usable resource that can be reprocessed or that can be destined for final disposal with no further use foreseen and treated as radioactive waste;

7. processing means operations to alter radioactive waste or spent fuel characteristics for technical, economic or safety reasons;

8. disposal means the emplacement of radioactive waste or spent fuel in an authorized facility without the intention of retrieval;

9. recognized installation means a facility located in the territory of a country authorized by the competent authorities of that country in accordance with national law for the long-term storage or disposal of sealed sources or an installation duly authorized under national law for the interim storage of sealed sources;

10. shipment means the whole of operations involved in moving radioactive waste or spent fuel from the third country or Member State of origin to the third country or Member State of destination;

11. intra-community shipment means a shipment carried out where the country of origin and the country of destination are Member States;

12. extra-community shipment means a shipment carried out where the country of origin or the country of destination are third countries;

13. duly completed application means the standard document that complies with all the requirements, as established in accordance with Article 21;

14. reprocessing means a process or operation, the purpose of which is to extract radioactive isotopes from spent fuel for further use;

15. consignee means any natural or legal person to whom radioactive waste or spent fuel is shipped;

16. competent authorities means any authorities which, under the law or regulations of the countries of origin, transit or destination, are empowered to implement the system of supervision and control of shipments of radioactive waste or spent fuel;

17. radioactive waste means radioactive material in gaseous, liquid or solid form for which no further use is foreseen by the countries of origin and destination, or by a natural or legal

person whose decision is accepted by these countries, and which is controlled as radioactive waste by a regulatory body under the legislative and regulatory framework of the countries of origin and destination;

18. Storage means the holding of radioactive waste or spent fuel in a facility that provides for its containment, with the intention of retrieval;

19. Standard document means the document for the supervision and control of shipments of radioactive waste and spent fuel in accordance with Decision 2008/312/Euratom and laid out in the Annex to these Rules;

20. Disused source means a sealed radiation source that is no longer used or intended to be used for the practice for which authorization was granted;

21. Sealed radiation source means a sealed source as defined in the Ionising Radiation Protection and Nuclear Safety Act (Official Gazette of the Republic of Slovenia, No. 102/04 – official consolidated text) and including the capsule, where applicable, enclosing radioactive material as an integral part of the source.

**Article 4**

**(Reshipments related to processing and reprocessing operations)**

(1) The Republic of Slovenia or an undertaking in the Republic of Slovenia to which radioactive waste is to be shipped for processing or to which other materials are to be shipped with the purpose of recovering radioactive waste, shall have the right to return radioactive waste after treatment to its country of origin.

(2) The Republic of Slovenia or an undertaking in the Republic of Slovenia to which spent fuel is to be shipped for reprocessing, shall have the right to return to its country of origin radioactive waste recovered from the reprocessing operation.

(3) An undertaking in the Republic of Slovenia having shipped radioactive waste or spent fuel to another Member State for processing or reprocessing, shall accept that radioactive waste generated in the processing or reprocessing operations that the Member State wishes to return.

**Article 5**

**(Reshipment related to undeclared radioactive waste shipments and non-authorized shipments)**

(1) The Republic of Slovenia shall have the right to safely return to its country of origin:

1. shipments of radioactive waste and spent fuel which fall under the scope of these Rules but which were not duly authorized by the Slovenian Nuclear Safety Administration (hereinafter referred to as Administration) in accordance with these Rules, and

2. radioactively contaminated waste or material containing a radioactive source where this material has not been declared as radioactive waste by the country of origin.

(2) Where the right to return undeclared or unauthorized shipments is exercised by another Member State in accordance with Directive 2006/117/Euratom, and where the Republic of Slovenia is the country of origin, the consignor must accept such reshipment.

**Article 6   
(Protection of information)**

In implementing the provisions of these Rules, the Administration shall take the necessary measures to ensure that all information regarding shipments covered by these Rules is handled with due care and protected against any misuse.

**II. INTRA-COMMUNITY SHIPMENTS**

**1. Shipment out to the Community**

**Article 7**

**(Scope of application and provision of additional information)**

(1) A holder who plans to carry out an intra-Community shipment of radioactive waste to a Member State or to arrange for such a shipment to be carried out shall submit a properly completed application for authorization to the Administration, completing section A-1 of the standard document; a holder who plans a shipment of spent fuel shall complete section B-1 of the standard document.

(2) The application may be submitted in respect of more than one shipment, provided that:

1. the radioactive waste or the spent fuel to which the application relates essentially has the same physical, chemical and radioactive characteristics; and

2. the shipments are to be made from the same holder to the same consignee and involve the same competent authorities, and

3. where shipments involve transit through third countries, such transit is via the same frontier post of entry to and/or exit from the Community and via the same frontier post of the third country or countries concerned, unless otherwise agreed between the competent authorities concerned.

**Article 8**

**(Transmission of the application to the competent authorities)**

(1) The Administration shall send the duly completed application referred to in the previous article for consent to the competent authorities of the Member State of destination and of the Member States of transit, if any.

(2) Where the Administration receives, within 20 days, a request for missing information from a competent authority of any Member State involved, in the form of section A-2 or B-2 of the standard document, the Administration shall transmit such information to the requesting competent authority and to all other competent authorities concerned.

(3) Missing information referred to in the previous paragraph shall be provided by the holder to the

Administration on request.

**Article 9   
(Acknowledgement of receipt)**

(1) In the case the application is duly completed, the competent authority of the Member State of destination shall transmit an acknowledgement of receipt to the Administration, in the form of section A-2 or B-2 of the standard document, not later than 10 days after expiry of the 20-day period set for the verification of the application in accordance with Directive 2006/117/Euratom.

(2) In the case the Administration has transmitted missing information in accordance with paragraph 2 of the previous article, the competent authority of the country of destination shall transmit the acknowledgement of receipt referred to in the previous paragraph to the Administration not later than 10 days after the receipt of missing information and not earlier than after expiry of the 20-day period set for the verification of the application.

(3) The time periods set out in this and the previous article may be shortened on the proposal of the Administration, if the competent authorities of the Member States of destination and transit are satisfied that the application is properly completed.

**Article 10   
(Consent and refusal)**

(1) Not later than two months from the date of acknowledgement of receipt the competent authorities of all Member States concerned shall notify, in the form of section A-3 or B-3 of the standard document, the Administration of their consent, or of the conditions which they consider necessary for granting their consent, or of their refusal to grant consent.

(2) On the request of the competent authorities of the Member State of destination or competent authorities of any Member States of transit, the Administration may extend the period referred to in the previous paragraph by up to one month.

(3) If upon expiry of the periods set out in paragraphs 1 or 2, no reply has been received by the Administration from the competent authorities of the Member State of destination or of the competent authorities of any Member States of transit, those countries shall be deemed to have granted their consent for the shipment requested.

**Article 11**

**(Authorization or refusal of shipment)**

(1) If all the consents necessary for shipment have been granted, the Administration shall authorize the holder to carry out the shipment and shall inform the competent authorities of the Member State of destination and of any Member State or third country of transit accordingly, in the form of section A-4a or B-4a of the standard document.

(2) If one or more competent authorities have not granted their consent necessary for shipment, the Administration shall transmit, in the form of section A-4b or B-4b of the standard document, the refusal of shipment to the holder and the competent authorities of the Member State of destination and of any Member State or third country of transit.

(3) The authorization referred to in the previous paragraph shall not in any way affect the responsibility of the holder, the transporters, the owner, the consignee or any other natural or legal person involved in the shipment.

(4) A single authorization may cover more than one shipment, where the conditions set out in paragraph 2 of Article 7 of these Rules are met.

(5) Any authorization shall be valid for a period of not more than three years. When establishing the period of validity of an authorization, the Administration shall take into account any conditions set out in the consents granted by the Member States of destination or of transit.

**Article 12   
(Acknowledgement of receipt of the shipment)**

Upon receiving a copy of the acknowledgement of receipt of the shipment from the competent authority of the Member State of destination, the Administration shall transmit this copy to the original holder that was granted authorization for the shipment of radioactive waste or spent fuel out into the Member State of destination.

**Article 13**

**(Reporting to the Commission)**

The Administration shall report any unjustified delays or lack of cooperation by the competent authorities of another Member State to the Commission.

**2. Shipment in from the Community or transit through the territory of the Republic of**

**Slovenia**

**Article 14**

**(Notifying competent authorities)**

(1) If the Republic of Slovenia is the Member State of destination or of transit, the Administration shall ensure for the competent authorities of the Member State of origin and of other Member States involved in the shipment to receive:

1. the acknowledgement of the receipt of the application or the request for missing information within the time periods laid down in Articles 8 and 9 of these Rules;

2. the specification of conditions for consent, consent or refusal of consent, within the time periods laid down in Article 10 of these Rules.

(2) The consignee of the shipment in the Republic of Slovenia shall transmit the acknowledgement of receipt of the shipment, in the form of section A-6 or B-6 of the standard document, to the Administration within 15 days of the receipt of the shipment; and the Administration shall transmit copies of the acknowledgement to the competent authorities of the Member State of origin and any other Member States involved in the shipment.

**Article 15**

**(Refusal to grant consent or conditions of its granting)**

(1) Reasons shall be given by the Administration for any refusal to grant consent, or for conditions attached to the consent, which shall be based:

1. in the case of transit through the territory of the Republic of Slovenia, on the regulations governing ionising radiation protection and nuclear safety, regulations governing the transport of dangerous goods and international treaties and Community legislation governing the transport of radioactive materials;

2. in the case of shipment in from another Member State, on the regulations governing the management of radioactive waste or spent fuel, or regulations governing the transport of dangerous goods or international treaties and Community legislation governing the transport of radioactive materials.

(2) The conditions referred to in the previous paragraph shall not be more stringent than those laid down for similar shipments within the Republic of Slovenia.

(3) If the Administration granted consent to transit for a given shipment, it may not refuse to grant consent to reshipment in the following cases:

1. when the initial consent concerned materials being shipped for treatment or reprocessing purposes, if the reshipment concerns radioactive waste or other products equivalent to the original material after treatment or reprocessing, and all relevant legislation is respected;

2. in cases of failed shipments as described in Article 16 of these Rules, if the reshipment is undertaken on the same conditions and with the same specifications.

**3. Shipment failure**

**Article 16 (Shipment failure)**

(1) If the Republic of Slovenia is the Member State of destination, origin or transit, the Administration may decide that the shipment may not be completed if the conditions for shipment are no longer complied with in accordance with these Rules, or are not in accordance with the authorizations or

consents granted pursuant to these Rules. It shall forthwith inform the competent authorities of the other Member States involved in the shipment of this decision.

(2) Where an outbound shipment within the Community cannot be completed or if the conditions for shipment are not complied with in accordance with these Rules, the radioactive waste or spent fuel in question must be taken back by the holder, unless an alternative safe arrangement can be made. The Administration may impose, where necessary, appropriate corrective safety measures upon the person responsible for the shipment.

(3) The holder shall be liable for costs arising in cases where the shipment cannot or may not be completed.

**III. EXTRA-COMMUNITY SHIPMENTS Article 17**

**(Imports into Slovenia)**

(1) Where radioactive waste or spent fuel falling within the scope of these Rules is to enter the Community from a third country and the Republic of Slovenia is the country of destination, the consignee shall submit an application for authorization to the Administration. The application may be sent in respect of more than one shipment, under the conditions set out in paragraph 2 of Article 7 of these Rules.

(2) The application shall include evidence, approved by the competent authorities of the third country of origin, that the consignee has made an arrangement with the holder established in the third country, obliging that holder to take back the radioactive waste or spent fuel where a shipment cannot be completed in accordance with paragraph 6 of this Article.

(3) The Administration shall send the application referred to in paragraph 1 for consent to the competent authorities of the Member States of transit, if any. The Administration shall apply, by analogy, the provisions of

- Articles 8 and 9 of these Rules concerning the acknowledgement of the receipt of the application or the request for missing information and corresponding acknowledgement;

- Article 10 of these Rules concerning the granting of consent; and

- Article 15 of these Rules concerning the refusal of consent and conditions of granting consent.

(4) If all the consents necessary for the shipment have been granted, the Administration shall authorize the consignee to accept the shipment and shall inform the competent authorities of the third country of origin and of any Member State of transit accordingly. The provisions of paragraphs 3 to 5 of Article 11 of these Rules shall apply.

(5) The consignee shall transmit the acknowledgement of receipt of the shipment to the Administration, in the form of section A6 or B6 of the standard document, within 15 days of the receipt of the shipment. The Administration shall send copies of the acknowledgement of receipt to the third country of origin and to any Member State or third country of transit.

(6) The Administration may decide that the shipment may not be completed if the conditions for shipment are no longer complied with in accordance with these Rules, or are not in accordance with the authorizations or consents issued pursuant to these Rules. The Administration shall forthwith inform the competent authorities of the country of origin and of any Member States of transit of this decision.

(7) The consignee shall be liable for costs arising in cases where the shipment cannot or may not be completed.

(8) If the Republic of Slovenia is a country of transit of shipments of radioactive waste or spent fuel imported into the Community, the Administration shall apply, by analogy, Article 15 of these Rules in granting the consent.

**Article 18**

**(Transit through the Community)**

(1) Where radioactive waste or spent fuel is to enter the Community from a third country and the country of destination is not a Member State and the Republic of Slovenia is the first Member State of transit, the natural or legal person who has responsibility for managing the shipment within the Republic of Slovenia shall submit an application for authorization to the Administration. The application may be sent in respect of more than one shipment, under the conditions set out in paragraph 2 of Article 7 of these Rules.

(2) The application referred to in the previous paragraph shall include evidence that the consignee established in the third country has made an arrangement with the holder established in the third country, approved by the competent authorities of that third country, obliging that holder to take back radioactive waste or spent fuel where a shipment cannot be completed in accordance with paragraph 7 of this article.

(3) The Administration shall send the application referred to in paragraph 1 for consent to the competent authorities of any other Member States of transit, if any. The Administration shall apply the provisions of

- Articles 8 and 9 of these Rules concerning the acknowledgement of the receipt of the application or the request for missing information and corresponding acknowledgement;

- Article 10 of these Rules concerning the granting of consent; and

- Article 15 of these Rules concerning the refusal of consent and conditions of granting consent.

(4) If all the consents necessary for shipment have been granted, the Administration shall authorize the person referred to in paragraph 1 of this article to carry out the shipment and shall inform the competent authorities of any other Member State or third country of transit, third country of destination and third country of origin accordingly. The provisions of paragraphs 3 to 5 of Article 11 of these Rules shall apply.

(5) The person responsible referred to in paragraph 1 shall, within 15 days of the arrival, notify the Administration in the appropriate section of the standard document, that the radioactive waste or spent fuel has reached its destination in the third country, and shall indicate the last customs post in the Community through which the shipment passed.

(6) The notification shall be substantiated by a declaration or certification by the consignee stating that the radioactive waste or spent fuel has reached its proper destination and indicating the customs post of entry in the third country.

(7) The Administration may decide that the shipment may not be completed if the conditions for shipment are no longer complied with in accordance with these Rules, or are not in accordance with the authorizations or consents issued pursuant to these Rules. The Administration shall forthwith inform the competent authorities of the country of origin of this decision. The person responsible referred to in paragraph 1 of this article shall be liable for costs arising in cases where the shipment cannot or may not be completed.

(8) If, in the case of transit of radioactive waste or spent fuel, the Republic of Slovenia is not the first Member State of transit, the Administration shall apply, by analogy, the provisions of Article 15 of these Rules in granting the consent.

**Article 19**

**(Exports out of the Community)**

(1) Where radioactive waste or spent fuel is to be shipped from the Republic of Slovenia out to a third country, the holder shall submit an application for authorization to the Administration. The application may be sent in respect of more than one shipment, under the conditions set out in paragraph 2 of Article 7 of these Rules.

(2) The Administration shall notify the competent authorities of the third country of destination of the planned shipment and request their consent, and shall transmit the application referred to in the previous paragraph for consent to the competent authorities of Member States of transit, if any, applying, by analogy, the provisions of Articles 8 and 9 of these Rules.

(3) If all the consents necessary for shipment have been granted, the Administration shall authorize the holder to carry out the shipment and shall inform the competent authorities of the third country of destination and of any Member State or third country of transit accordingly, applying the appropriate section of the standard document. The provisions of paragraphs 3 to 5 of Article 11 of these Rules shall apply by analogy.

(4) The holder referred to in paragraph 1 of this article shall, within 15 days from the date of arrival, notify the Administration that the radioactive waste or spent fuel has reached its destination in the third country and shall indicate the last customs post in the Community through which the shipment passed. The notification shall be substantiated by a declaration or certification by the consignee stating that the radioactive waste or spent fuel has reached its proper destination and indicating the customs post of entry in the third country.

(5) The Republic of Slovenia or any other Member State of transit may decide that the shipment may not be completed if the conditions for shipment are no longer complied with in accordance with these Rules, or are not in accordance with the authorizations or consents issued pursuant to Directive 2006/117/Euratom. Such Member State of transit shall forthwith inform the Administration of this decision. The provisions of paragraphs 2 and 3 of Article 16 of these Rules shall apply, by analogy.

(6) If the Republic of Slovenia is a country of transit of shipments of radioactive waste or spent fuel exported from the Community, the Administration shall apply, by analogy, the provisions of Article 15 of these Rules in granting the consent.

**Article 20 (Prohibited exports)**

(1) The Administration shall not authorize shipments:

1. to a destination south of latitude 60° south; or

2. to a country which is party to the Partnership Agreement between the members of the African, Caribbean and Pacific Group of States of the one part, and the European Community and its Member States, of the other part, (Cotonou ACP-EC Agreement) which is not a Member State, without prejudice to Article 4 of these Rules; or

3. to a third country which does not, in its opinion, in accordance with the criteria referred to in paragraph 2, have the administrative and technical capacity and regulatory structure to manage the radioactive waste or spent fuel safely, as stated in the Joint Convention on the Safety of Spent Fuel Management and on the Safety of Radioactive Waste Management. In coming to an opinion on this issue, the Administration shall take duly into account any relevant information from other Member States. In this respect, Member States shall inform the Commission and the Advisory Committee set up under Article 21 of Directive 2006/117/Euratom, on a yearly basis.

(2) In assessing the compliance with criteria for export under subparagraph 3 of the previous paragraph, the Administration shall take duly into account the Commission Recommendation of 4

December 2008 on criteria for the export of radioactive waste and spent fuel to third countries (OJ L No. 338, 17.12.2008, pp. 69-71).

**IV. STANDARD DOCUMENT AND REPORTING Article 21**

**(Use of the standard document)**

(1) The standard document, as set out in the Annex to these Rules, providing the list of minimum requirements for a properly completed application, shall be used for all shipments governed by these Rules.

(2) Any additional requirements for authorizing a shipment shall be attached to the standard document.

(3) Without prejudice to any other accompanying documents required under other relevant legal provisions, the completed standard document, including section A-5 or B-5, shall accompany each shipment falling under the scope of these Rules, including cases where the authorization relates to more than one shipment in a single document.

(4) These documents shall be available to the competent authorities of the country of origin and destination and any country of transit.

**Article 22 (Regular reports)**

By 25 December 2011 and every three years thereafter, the Administration shall forward to the

Commission reports on the implementation of Directive 2006/117/Euratom.

**V. TRANSITIONAL AND FINAL PROVISIONS Article 23**

**(Completion of procedures)**

Any procedures of obtaining authorization undertaken and not completed by the date of entry of these

Rules into force shall be completed in accordance with the provisions of the former legal provisions.

**Article 24**

**(Repeals of legal provision)**

On the date of entry of these Rules into force, the Rules on inputs from and outputs in the EU member states and on import and export of radioactive waste (Official Gazette of the Republic of Slovenia, Nos. 60/04 and 80/05) shall be repealed.

**Article 25   
(Entry into force)**

These Rules shall enter into force on the day following the day of their publication in the Official

Gazette of the Republic of Slovenia.

No. 0071-222/2008

Ljubljana, on 16 March 2009

EVA 2008–2511–0172

**Karl Erjavec** Minister

of the Environment and Spatial Planning

**ANNEX: The standard document**

**STANDARD DOCUMENT FOR THE SUPERVISION AND CONTROL OF SHIPMENTS OF RADIOACTIVE WASTE AND SPENT FUEL (COUNCIL DIRECTIVE 2006/117/Euratom)**

**General Notes:**

Sections A-1to A-6: to be completed for shipments of radioactive waste and

Sections B-1 to B-6: to be completed for shipments of spent fuel (including spent fuel destined for final disposal and as such categorised as waste)

**Section A-1 or B-1** (Application for authorisation of shipments): to be completed **by the applicant**, this is the following, depending on the type of the shipment:

– the holder in case of a shipment between Member States (Type MM) or an export from the

Community into a third country (Type ME);

– the consignee in case of an import into the Community from a third country (Type IM);

– the person responsible for the shipment in the Member State by which the radioactive waste or spent fuel enters the Community in case of a transit through the Community (Type TT).

**Section A-2 or B-2** (Acknowledgement of receipt of application): to be completed by the relevant **competent authorities** concerned, which are – depending on the type of the shipment – the competent authorities

– of origin in case of a shipment type MM or ME;

– of destination in case of a shipment type IM;

– where the shipment first enters the Community in case of a shipment type TT

and all competent authorities of the Member States of transit, if any.

**Section A-3 or B-3** (Refusal or consent): to be completed by **all competent authorities concerned**.

**Section A-4a/A-4b or B-4a/B-4b** (Authorisation or refusal of shipment): to be completed **by the competent authority responsible for issuing the authorisation**, which is - depending on the type of the shipment - the competent authority of

– the Member State of origin in case of shipments Type MM and ME shipments,

– the Member State of destination in case of Type IM shipments, or

– the first Member State of transit, where the shipment enters the Community in case of Type TT

shipments.

**Section A-5 or B-5** (Description of consignment/List of packages): to be completed **by the applicant**

as referred to in Section A-1 or B-1.

**Section A-6 or B-6** (Acknowledgement of receipt of shipment): to be completed **by the consignee** (in case of MM and IM shipments) **or the holder** (in case of ME shipments) **or the person responsible for the shipment** (in case of TT shipments).

*Registration No: (To be completed by the competent authority responsible for issuing the shipments authorisation.)*

**SECTION A-1**

**APPLICATION FOR AUTHORISATION OF SHIPMENT(S) OF RADIOACTIVE WASTE**

|  |  |
| --- | --- |
| (1) | Type of shipment *(tick the appropriate box):*  **□** Type MM: Shipment between Member States *(via one or more Member States or third countries)*  **□** Type IM: Import into the Community  **□** Type ME: Export out of the Community  □ Type TT: Transit through the Community |
| (2) | Application for authorisation for *(tick the appropriate box*):  **□** a single shipment Planned period of execution:  **□** several shipments: number *(planned)*: Planned period of execution: |
| (3) | **□** not applicable.  **□** Type MM shipment(s) via one or more third countries:  Frontier post of exit from the Community\*: Frontier post of entry to third country\* *(first country crossed):* Frontier post of exit from third country\* *(last country crossed):* Frontier post of return to the Community\*:  *(\*)These frontier posts must be identical for all shipments covered by the application unless otherwise agreed by the competent authorities.* |
| (4) | Applicant *(trade name):*  □ Holder (for Types MM, ME), □ Consignee (for Type IM), □ Other (for Type TT), to be specified: Address: Post code Town: Country: Tel: Fax: E-mail: Contact person: Mr/Ms |
| (5) | Location of the radioactive waste before shipment *(trade name):* Address: Post code: Town: Country: Tel: Fax: E-mail:  Contact person: Mr/Ms |
| (6) | Consignee *(trade name):* Address: Post code: Town:\_ Country:  Tel: Fax: E-Mail:  Contact person: Mr/Ms |
| (7) | Location of radioactive waste after shipment: Address: Post code: Town: Country: Tel: Fax: E-mail:  Contact person: Mr/Ms: |
| (8) | Nature of radioactive waste: Physicochemical characteristics *(tick as appropriate):* □ solid, □ liquid, □ gaseous, □ other (e.g. fissile, low dispersible,…), to be specified: Main radionuclides: Maximum alpha activity per shipment (GBq): per package (GBq): Maximum beta/gamma activity per shipment (GBq): per package (GBq): Total alpha activity (GBq): Total beta/gamma activity (GBq): *(These values are estimates if the application relates to several shipments)* |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| (9) | Total number of packages:  Total net mass of shipment (kg): Total gross mass of shipment (kg):  *(These values are estimates if the application relates to several shipments)*  Description of consignment :□ Plastic bags, □ metal drums (m3): , □ ISO transport container (m3): , □ other, to be specified: Type of package**1** (*if known*): Means of identification of the packages *(if labelling is used, annex examples):* | | | |
| (10) | Type of activity giving rise to the radioactive waste *(tick as appropriate)*: □ medicine, □ research,  □ (non-nuclear) industry, □ nuclear industry, □ other activity *(to be specified):* | | | |
| (11) | Purpose of the shipment:  □ Return of radioactive waste after (re)treatment or reprocessing of spent fuel  □ Return of radioactive waste after treatment of radioactive waste  □ Treatment, e.g. (re)packaging, conditioning, volume reduction  □ Interim storage  □ Return after interim storage  □ Final disposal  □ Other purpose *(to be specified)*: | | | |
| (12) | Proposed mode of transport (*road, rail, sea, air, inland waterway)* | Point of departure: | Point of arrival: | Proposed  Carrier  *(if known):* |
| 1. |  |  |  |
| 2. |  |  |  |
| 3. |  |  |  |
| 4. |  |  |  |
| 5. |  |  |  |
| 6. |  |  |  |
| 7. |  |  |  |
| 8. |  |  |  |
| (13) | Sequential list of countries concerned in the shipment *(the first country is that where the radioactive waste is held and the last is the country of destination)* | | | |
| 1. | 3. | 5. | 7. |
| 2. | 4. | 6. | 8. |

**1** According to Regulations for the Safe Transport of Radioactive Material 2005 Edition, Safety

Requirements No TS-R-1 IAEA, Vienna, 2005

(14) In accordance with the provisions of Directive 2006/117/Euratom, I, the applicant, hereby:

1. apply for authorisation to make the shipment(s) of radioactive waste described above, and

2. certify that the information provided above is correct to the best of my knowledge and that the shipment(s) will be carried out in accordance with all the relevant statutory provisions, and

3.\*(Where the shipment is of Type MM or ME) undertake to take back the radioactive waste if the shipment(s) cannot take place or if the conditions for shipment cannot be fulfilled; or

\*(Where the shipment is of type IM or TT) attach hereto the evidence of the arrangement between the consignee and the holder of the radioactive waste established in the third country, which has been accepted by the competent authority of the third country, stating that the holder in the third country will take back the radioactive waste if the shipment(s) cannot take place or if the conditions for

shipment cannot be fulfilled, unless an alternative safe arrangement can be made.

*(\*) Only one of the asterisked statements can apply: delete as applicable.*

(*Date and place)…………………………(Stamp)………………………..(Signature)*

Registration No:

**SECTION A-2**

(*To be completed by the competent authority responsible for issuing the shipments authorisation.)*

**ACKNOWLEDGEMENT OF RECEIPT OF APPLICATION FOR RADIOACTIVE WASTE SHIPMENT– REQUEST FOR MISSING INFORMATION**

|  |  |
| --- | --- |
| (15) | Name of the competent authority responsible for issuing the authorisation  Member State:  **□** of origin**2**,  **□** of destination**3**,  **□** where the shipment first enters the Community**4**  Address: Post code: Town: Country: Tel: Fax.: E-mail: Contact person: Mr/Ms |
| Date of receipt/registration: (dd/mm/yyyy) |
| (16) | Name of the competent authority concerned*:* Member State or country of *(Tick as appropriate*)  **□** destination,  **□** transit,  **□** where the shipment first enters the Community, or  **□** origin**5**: Address: Post code: Town: Country: Tel: Fax: E-mail: Contact person: Mr/Ms |
| (17) | In accordance with the provisions of Directive 2006/117/Euratom, I hereby consider the application of  (dd/mm/yyyy), received on (dd/mm/yyyy)  (a)\*not duly completed and request the following missing information: (*Attach complete list of missing information (items), if space is not sufficient)*  ...............................(*Date and place)…..…………(Stamp)………………………..(Signature)…………..*  (b)\*duly completed and acknowledge the receipt thereof.  ........................*(Date and place)………………(Stamp)……………………..(Signature*)………….  *(\*) Only one of the asterisked statements can apply: delete as applicable* |

**2** In case of a Type MM or type ME shipment

**3** In case of a Type IM shipment

**4** In case of a Type TT shipment

**5** Not required by the Directive, Country of origin may be consulted on a voluntary basis in case of Type

TT and IM shipments.

Registration No:

(*To be completed by the competent authority responsible for issuing the shipments authorisation.)*

**SECTION A-3**

**REFUSAL OR CONSENT OF THE RADIOACTIVE WASTE SHIPMENT BY THE COMPETENT AUTHORITIES CONCERNED**

|  |  |
| --- | --- |
| (18) | Name of the competent authority concerned*:*  Member State or Country *(tick and fill in as appropriate)* **□** of origin**6**,  **□** of destination**7**,  **□** of transit**8**  :  Address: Post code: Town: Country: Tel: Fax: E-Mail: Contact person: Mr/Ms |
| (19) | \*) General deadline for automatic approval (dd/mm/yyyy) |
| \*) Request for additional period of not more than one month, extended deadline for automatic approval:  (dd/mm/yyyy) |
| *(Date and place)……………………….. (Stamp)………………… (Signature)………………..*  *(\*) Only one of the asterisked statements can apply: delete as applicable* |
| (20) | In accordance with the provisions of Directive 2006/117/Euratom, I hereby  \*\*refuse consent for the following reasons *(attach complete list of reasons, if space is not*  *sufficient):*  *(Date and place)……………………….. (Stamp)………………… (Signature)………………* |
| \*\*grant consent under the following conditions *(attach complete list, if space is not*  *sufficient):*  ……………..(*Date and place)…………………..(Stamp)…………………(Signature)……………….*  *(\*\*) Only one of the asterisked statements can apply: delete as applicable* |

**6** Not required by the Directive, country of origin may be consulted on a voluntary basis in case of Type

TT and IM shipments.

**7** In case of a Type MM or ME shipment

**8** In case of Type MM, IM, ME or TT shipments, if one or more Member States of transit are concerned.

Registration No:

**SECTION A-4a**

(*To be completed by the competent authority responsible for issuing the shipments authorisation.)*

**AUTHORISATION OF RADIOACTIVE WASTE SHIPMENT**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| (21) | Name of competent authority responsible for issuing the authorisation of the shipment:  Member State *(fill in and tick as appropriate)*:  □ of origin, □ of destination or □ by way of which the waste enters the Community  Address: Post code: Town: Country: Tel: Fax: E-mail: Contact person: Mr/Ms | | | |
| (22) | Sequential list of consents and/or refusals of the countries concerned in the shipment: | | | |
| Member State or  Country | Consent granted? | List of conditions for consent, if any: | Reference to attachments |
| 1. | YES/NO\* |  |  |
| 2. | YES/NO\* |  |  |
| 3. | YES/NO\* |  |  |
| 4. | YES/NO\* |  |  |
| 5. | YES/NO\* |  |  |
| 6. | YES/NO\* |  |  |
| 7. | YES/NO\* |  |  |
| 8. | YES/NO\* |  |  |
| (23) | The decision adopted and recorded in this section has been reached in accordance with the provisions of  Directive 2006/117/Euratom.**9**  The competent authorities of the countries concerned are informed that the single shipment\*  several shipments*\**  of the radioactive waste as described in Section A-1, has/have been  AUTHORISED  Date of expiry of authorisation: (dd/mm/yyyy)  *…… (Date and place)…………….……………….. (Stamp) …………………………… (Signature)……………*  *(\*) only one asterisked statement can apply, delete as appropriate.* | | | |

**9** This authorisation in no way diminishes the responsibility of the holder, carrier, owner, consignee or any other physical or legal person concerned in the shipment.

Registration No:

**SECTION A-4b**

(*To be completed by the competent authority responsible for issuing the shipments authorisation.)*

**REFUSAL OF RADIOACTIVE WASTE SHIPMENT**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| (24) | Name of competent authority responsible for issuing the refusal of the shipment:  Member State *(fill in and tick as appropriate)*:  □ of origin, □ of destination □ of transit, \_or □ by way of which the waste enters the Community  Address:  Post code: Town: Country: Tel: Fax: E-mail:  Contact person: Mr/Ms | | | |
| (25) | Sequential list of consents and/or refusals of the countries concerned: | | | |
| Member State/ Country | Consent granted? | List of conditions for consent, if any, or reasons for refusal | Reference to attachments |
| 1. | YES/NO\* |  |  |
| 2. | YES/NO\* |  |  |
| 3. | YES/NO\* |  |  |
| 4. | YES/NO\* |  |  |
| 5. | YES/NO\* |  |  |
| 6. | YES/NO\* |  |  |
| 7. | YES/NO\* |  |  |
| 8. | YES/NO\* |  |  |
| The decision adopted and recorded in this section has been reached in accordance with the provisions of  Directive 2006/117/Euratom. The competent authorities of the countries concerned are informed that  the single shipment\*  several shipments*\**  of the radioactive waste as described in Section A-1, has/have been  REFUSED  *……(Date and place)………………………..(Stamp) ……………………………(Signature) (\*) only one asterisked statement can apply, delete as appropriate.* | | | |

Registration No:

**SECTION A-5**

(*To be completed by the competent authority responsible for issuing the shipments authorisation.)*

**DESCRIPTION OF RADIOACTIVE WASTE CONSIGNMENT AND LIST OF PACKAGES**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| (26) | Applicant *(Trade name):*  □ Holder, □ Consignee, □ Other, to be specified: Address: Post code: Town: Country: Tel.: Fax: E-mail: Contact person: Mr/Ms: | | | | |
| (27) | Date of expiry of the authorisation (dd/mm/yyyy), covering □ a single shipment, or □ several shipments, serial number of the shipment: | | | | |
| (28) | Nature of radioactive waste  Physicochemical characteristics *(tick as appropriate):* □ solid, □ liquid, □ gaseous, □ other (e.g. fissile, low dispersible), to be specified: Main radionuclides: Maximum alpha activity/package (GBq): Maximum beta/gamma activity/package (GBq): Total alpha activity (GBq): Total beta/gamma activity (GBq): | | | | |
| (29) | \*Identification number | \*Type **10** | \*Gross mass (kg) | \*Net mass (kg) | \*Activity (GBq) |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |
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|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |
| Total number: | Total/type: | Total: | Total: | Total: |
| *(\*)To be completed for each package, attach separate list, if space is not sufficient!* | | | | |
| (30) | Date of dispatch of the shipment: (dd/mm/yyyy) I hereby certify that the information provided in this section (and in the attached list or documents) is correct to the best of my knowledge.  *……(Date and place)………………………..(Stamp) ……………………………(Signature)* | | | | |

**10** According to Regulations for the Safe Transport of Radioactive Material 2005 Edition, Safety

Requirements TS-R-1 , IAEA, Vienna, 2005

Registration No:

**SECTION A-6**

(*To be completed by the competent authority responsible for issuing the shipments authorisation.)*

**ACKNOWLEDGEMENT OF RECEIPT OF THE RADIOACTIVE WASTE**

|  |  |
| --- | --- |
| (31) | Consignee *(trade name):* Address: Post code: Town: Country: Tel: Fax: E-mail: Contact person: |
| (32) | Location where the radioactive waste is held after the shipment: Address: Post code Town: Country: Tel: Fax: E-mail: Contact person: Mr/Ms |
| (33) | Authorisation granted for (*tick as appropriate):*  □ a single shipment of Type MM or IM,  □ a single shipment of Type ME or TT  □ several shipments of Type MM or IM, Serial number of shipment: Last shipment covered by the authorisation: □Yes □No  □ several shipments of Type ME or TT, Serial number of shipment: Last shipment covered by the authorisation: □Yes □No |
| (34) | **□** Not applicable.  **□** Shipments of type ME or TT *(this item may be replaced by a separate declaration, fill in reference to attachment):*  Frontier post of entry to the third country of destination or transit:  Third country: Frontier post: |
| (35) | Depending on the type of shipment, the consignee must send the acknowledgement of receipt together  with section A-5  • *(Type MM or IM):* to the competent authority of the Member State of destination,  • *(Type ME or TT):* to the applicant (Type ME: to the holder, Type TT: to the person responsible for the shipment in the Member State by way of which the waste enters the Community) as referred to as in item 4 (section A-1).  Date of receipt of the radioactive waste: (dd/mm/yyyy) Date of dispatch of the acknowledgement of receipt*:* (dd/mm/yyyy) I, the consignee, hereby certify that the information provided in this section *(and the attached list)* is  correct to the best of my knowledge.  *……(Date and place)………………………..(Stamp) ……………………………(Signature)* |
| (36) | □ Not applicable.  □ Shipments of type ME or TT*:* the applicant forwards the acknowledgement of receipt and, where appropriate, the consignee's declaration to the authority which issued the authorisation.  1. A consignee located outside the European Union may acknowledge receipt of the radioactive waste by means of a declaration or certificate providing at least the information contained in items 31 to 36.  2. The competent authority which receives the original acknowledgement of receipt must send copies of it to the other competent authorities.  3. The originals of sections A-5 and A-6 must be sent finally to the competent authority which issued the authorisation.  4. For shipments between Member States, the competent authority of the Member State of origin or where the shipment first enters the Community must send a copy of the acknowledgement of receipt to the holder. |

|  |  |  |
| --- | --- | --- |
|  | Date of forwarding of the acknowledgement of receipt  (together with section A-5): \_ (dd/mm/yyyy) | Frontier post of exit from the Community: |
| Country: | Post: |
| *(Date and place)…………………..(Stamp) ………………(Signature of the applicant)* | |

24

Registration No:

**SECTION B-1**

(*To be completed by the competent authority responsible for issuing the shipments authorisation.)*

**APPLICATION FOR AUTHORISATION OF SHIPMENT(S) OF SPENT FUEL**

|  |  |
| --- | --- |
| (1) | Type of shipment *(tick the appropriate box):*  **□** Type MM: Shipment between Member States *(via one or more Member States or third countries)*  **□** Type IM: Import into the Community  **□** Type ME: Export out of the Community  □ Type TT: Transit through the Community |
| (2) | Application for authorisation for *(tick the appropriate box*):  **□** a single shipment Planned period of execution:  **□** several shipments: number *(planned)*: Planned period of execution: |
| (3) | **□** Not applicable.  **□** Type MM shipment(s) via one or more third countries:  Frontier post of exit from the Community\*: Frontier post of entry to third country\* *(first country crossed):* Frontier post of exit from third country\* *(last country crossed):* Frontier post of return to the Community\*:  *(\*)These frontier posts must be identical for all shipments covered by the application unless otherwise agreed by the competent authorities.* |
| (4) | Applicant *(trade name):*  □ Holder (for Types MM, ME), □ Consignee (for Type IM), □ Other (for Type TT), to be specified:  Address:  Post code Town: Country: Tel: Fax: E-mail:\_ Contact person: Mr/Ms |
| (5) | Location of the spent fuel before shipment *(trade name):* Address: Post code: Town: Country: Tel: Fax: E-mail:\_ Contact person: Mr/Ms |
| (6) | Consignee *(trade name):* Address: Post code: Town: Country: Tel: Fax: E-mail:  Contact person: Mr/Ms |
| (7) | Location of spent fuel after shipment: Address: Post code: Town: Country: Tel: Fax: E-mail: Contact person: Mr/Ms: |
| (8) | Type of spent fuel: **□** uranium metal,  **□** uranium dioxide,  **□** mixed oxide (MOX),  **□** other, please specify: |
| Original fissile content: **□** uranium-235 [maximum enrichment……………….%]  **□** MOX [nominal uranic enrichment………….%]  [maximum plutonium content……….%]  **□** other, please specify |
| Fuel burn up (average or typical range): MWdays/teHM |
| (9) | Total number of packages (e.g. flasks): Total number of assemblies/bundles/elements/rods (specify): Total net mass (kg): Total gross mass (kg): *(These values are estimates if the application relates to several shipments)* |

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
|  | Description of consignment (e.g. flasks): Type of package**11** (*if known*):  Maximum spent fuel content per package (kg):  Means of identification of the packages *(if labelling is used, annex examples):* | | | | | | |
| (10) | Type of activity giving rise to the spent fuel *(tick as appropriate)*  □ research, □ commercial nuclear power, □ other activity *(to be specified):* | | | | | | |
| (11) | Purpose of the spent fuel shipment:  □ (Re)treatment or reprocessing  □ Interim storage  □ Return after interim storage  □ Final disposal  □ Other purpose *(to be specified)*: | | | | | | |
| (12) | Proposed mode of transport *(road, rail, sea, air, inland waterway)* | | Point of departure: | | Point of arrival: | | Proposed carrier *(if known):* |
| 1. | |  | |  | |  |
| 2. | |  | |  | |  |
| 3. | |  | |  | |  |
| 4. | |  | |  | |  |
| 5. | |  | |  | |  |
| 6. | |  | |  | |  |
| 7. | |  | |  | |  |
| 8. | |  | |  | |  |
| (13) | Sequential list of countries concerned in the spent fuel shipment *(the first country is that where the spent fuel is held and the last is the country of destination)* | | | | | | |
| 1. | 3. | | 5. | | 7. | |
| 2. | 4. | | 6. | | 8. | |
| (14) | In accordance with the provisions of Directive 2006/117/Euratom, I, the applicant, hereby:  1. Apply for authorisation to make the shipment(s) of spent fuel described above, and  2. certify that the information provided above is correct to the best of my knowledge and that the shipment(s) will be carried out in accordance with all the relevant statutory provisions, and  3. \*(Where the shipment is of Type MM or ME) undertake to take back the spent fuel if the shipment(s)  cannot take place or if the conditions for shipment cannot be fulfilled; or  \*(Where the shipment is of type IM or TT) attach hereto the evidence of the arrangement between the consignee and the holder of the spent fuel established in the third country, which has been accepted by the competent authority of the third country, stating that the holder in the third country will take back the  spent fuel if the shipment(s) cannot take place or if the conditions for shipment cannot be fulfilled.  *(\*) Only one of the asterisked statements can apply: delete as applicable* | | | | | | |
| (*Date and place)…………………………(Stamp)………………………..(Signature)* | | | | | | |

**11** According to Regulations for the Safe Transport of Radioactive Material 2005 Edition, Safety

Requirements TS-R-1, IAEA, Vienna, 2005

Registration No:

**SECTION B-2**

(*To be completed by the competent authority responsible for issuing the shipments authorisation.)*

**ACKNOWLEDGEMENT OF RECEIPT OF APPLICATION FOR SPENT FUEL SHIPMENT(S) – REQUEST FOR MISSING INFORMATION**

|  |  |
| --- | --- |
| (15) | Name of the competent authority responsible for issuing the authorisation:  Member State:  **□** of origin**12**,  **□** of destination**13**, or  **□** where the spent fuel first enters the Community**14**  Address: Post code: Town: Country:  Tel: Fax.: E-mail: Contact person: Mr/Ms |
| Date of receipt/registration: (dd/mm/yyyy) |
| (16) | Name of the competent authority concerned*:*  Member State or country of *(Tick as appropriate*)  **□** destination,  **□** transit,  **□** where the shipment first enters the Commmunity, or  **□** origin**15**,  Address:  Post code: Town: Country: Tel: Fax: E-mail:\_  Contact person: Mr/Ms |
| (17) | In accordance with the provisions of Directive 2006/117/Euratom, I hereby consider the application  of (dd/mm/yyyy), received on (dd/mm/yyyy)  (a)\*not duly completed and request the following missing information: *(Attach complete list of missing information (items), if space is not sufficient*)  ..................*(Date and place)………………..…(Stamp)………………………………..(Signature)*  (b)\*duly completed and acknowledge the receipt thereof  ...................*(Date and place)……………………(Stamp)………………………………..(Signature)*  *(\*) Only one of the asterisked statements can apply: delete as applicable* |

**12** In case of a Type MM or type ME shipment

**13** In case of a Type IM shipment

**14** In case of a Type IM or TT shipment

**15** Not required by the Directive, Country of origin may be consulted on a voluntary basis in case of Type

TT and IM shipments

Registration No:

**SECTION B-3**

(*To be completed by the competent authority responsible for issuing the shipments authorisation.)*

**REFUSAL OR CONSENT OF SPENT FUEL SHIPMENT(S) BY THE COMPETENT AUTHORITIES CONCERNED**

|  |  |
| --- | --- |
| (18) | Name of the competent authority concerned*:*  Member State or Country of *(tick and fill in as appropriate)* **□** origin**16**,  **□** destination**17**, transit**18**:  Address: Post code: Town: Country: Tel: Fax: E-mail:\_ Contact person: Mr/Ms |
| (19) | \*) General deadline for automatic approval: (dd/mm/yyyy) |
| \*) Request for additional period of not more than one month, extended deadline for automatic approval:  (dd/mm/yyyy) |
| *(Date and place)……………………….. (Stamp)………………… (Signature)………………..*  *(\*) Only one of the asterisked statements can apply: delete as applicable* |
| (20) | In accordance with the provisions of Directive 2006/117/Euratom, I hereby  \*\*refuse consent for the following reasons *(attach complete list of reasons, if space is not sufficient):*  *(Date and Place)……………………….. (Stamp)………………… (Signature)………………* |
| \*\*grant consent under the following conditions *(attach complete list, if space is not sufficient):*  (*Date and place)………………….. (Stamp)………………… (Signature)………………..*  *(\*\*) Only one of the asterisked statements can apply: delete as applicable* |

**16** Not required by the Directive, Country of origin may be consulted on a voluntary basis in case of Type

TT and IM shipments.

**17** In case of a Type MM or ME shipments

**18** In case of Type MM, IM, ME or TT shipment, if one or more Member States of transit are concerned.

Registration No:

**SECTION B-4a**

(*To be completed by the competent authority responsible for issuing the shipments authorisation.)*

**AUTHORISATION OF SPENT FUEL SHIPMENT(S)**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| (21) | Name of competent authority responsible for issuing the authorisation of the shipment:  Member State *(fill in and tick as appropriate)*:  □ of origin, □ of destination, □ of transit or □ by way of which the spent fuel enters the Community Address: Post code: Town: Country: Tel: Fax: E-mail: Contact person: Mr/Ms | | | |
| (22) | Sequential list of consents and/or refusals of the countries concerned in the shipment: | | | |
| Member State/ Country | Consent granted? | List of conditions for consent, if any. | Reference to attachments |
| 1. | YES/NO\* |  |  |
| 2. | YES/NO\* |  |  |
| 3. | YES/NO\* |  |  |
| 4. | YES/NO\* |  |  |
| 5. | YES/NO\* |  |  |
| 6. | YES/NO\* |  |  |
| 7. | YES/NO\* |  |  |
| 8. | YES/NO\* |  |  |
| (23) | The decision adopted and recorded in this section has been reached in accordance with the provisions of  Directive 2006/117/Euratom.**19** The competent authorities of the countries concerned are informed that  the single shipment\*  several shipments*\**  of spent fuel as described in Section B-1, has/have been  AUTHORISED  Date of expiry of authorisation: (dd/mm/yyyy)  *……(Date and place)………………………..(Stamp) ……………………………(Signature) (\*) only one asterisked statement can apply, delete as appropriate).* | | | |

**19** This authorisation in no way diminishes the responsibility of the holder, carrier, owner, consignee or any other physical or legal person concerned in the shipment.

Registration No:

**SECTION B-4b**

(*To be completed by the competent authority responsible for issuing the shipments authorisation.)*

**REFUSAL OF SPENT FUEL SHIPMENT(S)**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| (24) | Name of competent authority responsible for issuing the refusal of the shipment:  Member State *(fill in and tick as appropriate)*:  □ of origin, □ of destination, □ of transit or □ by way of which the spent fuel enters the Community Address: Post code: Town: Country: Tel: Fax: E-mail: Contact person: Mr/Ms | | | |
| (25) | Sequential list of consents and/or refusals of the countries concerned: | | | |
| Member State/ Country | Consent granted? | List of conditions for consent, if any, or reasons for refusal | Reference to attachments |
| 1. | YES/NO\* |  |  |
| 2. | YES/NO\* |  |  |
| 3. | YES/NO\* |  |  |
| 4. | YES/NO\* |  |  |
| 5. | YES/NO\* |  |  |
| 6. | YES/NO\* |  |  |
| 7. | YES/NO\* |  |  |
| 8. | YES/NO\* |  |  |
| The decision adopted and recorded in this section has been reached in accordance with the provisions of  Directive 2006/117/Euratom.  The competent authorities of the countries concerned are informed that the single shipment\*  several shipment(s)*\**  of spent fuel as described in Section B-1, has been  REFUSED  *……(Date and place)………………………..(Stamp) ……………………………(Signature) (\*) only one asterisked statement can apply, delete as appropriate).* | | | |

Registration No:

**SECTION B-5**

(*To be completed by the competent authority responsible for issuing the shipments authorisation.)*

**DESCRIPTION OF SPENT FUEL CONSIGNMENT AND LIST OF PACKAGES**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| (26) | Applicant *(trade name):*  □ Holder, □ Consignee, □ Other, to be specified: Address: Post code: Town: Country: Tel.: Fax: E-mail: Contact person: Mr/Ms: | | | | |
| (27) | Date of expiry of the authorisation (dd/mm/yyyy), covering  □ a single shipment, □ several shipments, serial number of the shipment: | | | | |
| (28) | Type of spent fuel: **□** uranium metal,  **□** uranium dioxide,  **□** mixed oxide (MOX),  **□** other, please specify: | | | | |
| Original fissile content: **□** uranium-235 [maximum enrichment…….……………. %]  **□** MOX [nominal U-enrichment…………. %] [max. PU content…………….….%]  **□** other, please specify | | | | |
| Fuel burn up (average or typical range): MWd/tHM  Total number of assemblies/bundles/elements/rods (specify): Maximum spent fuel content per package (kg): | | | | |
| (29) | \*Identification no: | \*Type**20** | \*gross mass (kg) | \*net mass (kg) | \*activity (GBq) |
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| Total number: | Total/type: | Total: | Total: | Total: |
| *(\*) to be completed for each package, attach separate list, if space is not sufficient!* | | | | |
| (30) | Date of dispatch of the shipment: (dd/mm/yyyy) I hereby certify that the information provided in this section (and in the attached list or documents) is correct to the best of my knowledge.  *…… (Date and place)……………………….. (Stamp) …………………………… (Signature)…………….* | | | | |

**20** According to Regulations for the Safe Transport of Radioactive Material 2005 Edition, Safety

Requirements TS-R-1, IAEA, Vienna, 2005

Registration No:

**SECTION B-6**

(*To be completed by the competent authority responsible for issuing the shipments authorisation.)*

**ACKNOWLEDGEMENT OF RECEIPT OF THE SPENT FUEL**

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| (31) | Consignee *(trade name):* Address: Post code: Town: Country: Tel: Fax: E-mail: Contact person: |
| (32) | Location where the spent fuel is held after the shipment *(trade name):* Address: Post code: Town: Country: Tel: Fax: E-mail: Contact person: Mr/Ms |
| (33) | Authorisation granted for (*tick as appropriate):*  □ a single shipment of Type MM or IM,  □ a single shipment of Type ME or TT  □ several shipments of Type MM or IM, Serial number of shipment:  Last shipment covered by the authorisation: □Yes □No  □ several shipments of Type ME or TT, Serial number of shipment:  Last shipment covered by the authorisation: □Yes □No |
| (34) | □ not applicable.  □ For shipments of type ME or TT: *(this item may be replaced by a separate declaration, fill in*  *reference to attachment):*  Frontier post of entry to the third country of destination or transit:  Third country: Frontier post: |
| (35) | Depending on the type of shipment, the consignee must send the acknowledgement of receipt together  with section B-5  • *(Type MM or IM):* to the competent authority of the Member State of destination.  • *(Type ME or TT):* to the applicant (Type ME: to the holder, Type TT: to the person responsible for the shipment in the Member State by way of which the spent fuel enters the Community) as referred to as in item 4 (section B-1).  Date of receipt of the spent fuel: (dd/mm/yyyy) Date of dispatch of the acknowledgement of receipt*:* (dd/mm/yyyy) I, the consignee, hereby certify that the information provided in this section *(and the attached list)* is  correct to the best of my knowledge.  *……(Date and place)………………………..(Stamp) ……………………………(Signature)* |
| (36) | □ Not applicable.  □ For shipments of type ME or TT*:* the applicant forwards the acknowledgement of receipt and, where appropriate, the consignee's declaration to the authority which issued the authorisation.  1. A consignee located outside the European Communities may acknowledge receipt of the spent fuel by means of a declaration or certificate providing at least the information contained in items 31 to 36.  2. The competent authority which receives the original acknowledgement of receipt must send copies of it to the other competent authorities.  3. The originals of sections B-5 and B-6 must be sent finally to the competent authority which issued the authorisation.  4. For shipments between Member States, the competent authority of the Member State of origin or where the shipment first enters the Community must send a copy of the acknowledgement of receipt to the holder. |

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| --- | --- | --- |
|  | Date of forwarding of the acknowledgement of receipt  (together with section B-5): (dd/mm/yyyy) | Frontier post of exit from the Community: |
| Country: | Post: |
| *(Date and place)…………………..(Stamp) ………………(Signature of the applicant)* | |

33

**EXPLANATORY NOTES**

**to each item of the standard document sections A-1 to A-6 and B-1 to B-6:**

**Definition of a duly completed application:** An application for the authorisation of a shipment of radioactive waste or spent fuel is duly completed in accordance with Directive 2006/117/Euratom, if – in case of shipments of radioactive waste – each item of section A-1, or – in case of shipments of spent fuel – each item of section B-1, contains the required information, either by ticking the relevant box, deleting (=crossing out) the non-applicable option or filling in the relevant data and values. In case of an application for several shipments, items 8 and 9 may contain estimates.

(1) The applicant must duly complete all items 1 to 14. In item 1 tick one of the boxes as applicable to define the type of shipment and fill in the relevant frontier posts in case third countries are concerned in the shipment,

(a) Tick Type MM for shipments between Member States, eventually passing through one or more other Member States or third countries.

(b) Tick Type IM for shipments from a third country to a Member State (= import into the Community), bearing in mind, that the application must include evidence that the consignee has made an arrangement with the holder established in the third country, and which has been accepted by the competent authority of that third country, obliging that holder to take back the radioactive waste or spent fuel where a shipment cannot or may not be completed.

(c) Tick Type ME for shipments from a Member State to a third Country (= export from the Community), or

(d) Tick Type TT for shipments from one third country to another third country passing one or more Member States, bearing in mind that the application must include evidence that the consignee established in the third country has made an arrangement with the holder established in the third country, and accepted by the competent authority of that third country, obliging that holder to take back radioactive waste or spent fuel where a shipment cannot or may not be completed.

(2) By ticking the relevant box, the applicant must state clearly whether the application covers only one shipment within a period of time (e.g. 05/2010, 2009 or 2010-2011) or whether the application covers more than one shipment within a period of time, but not more than a period of three years from the date of authorisation. A single application may be sent in respect of more than one shipment, provided the following conditions are met as set out in Article 6(2) of the Directive 2006/117/Euratom:

(a) the radioactive waste or spent fuel to which it relates essentially has the same physical, chemical and radioactive characteristics; and

(b) the shipments are to be made from the same holder to the same consignee and involve the same competent authorities, and

(c) where the shipments involve transit through third countries, such transit is made via the same frontier post of entry to and/or exit from the Community and via the same frontier post(s) of the third country or countries concerned, unless otherwise agreed between the competent authorities concerned.

(3) The applicant must fill in the relevant frontier posts in case one or more third countries are concerned in the shipment. These frontier posts must be identical for all shipments covered by the application unless otherwise agreed by the competent authorities.

(4) **The applicant** must fill in his/her trade name, address and contact details. The trade name, also known as a trading name or a business name, is the name which a business trades under

for commercial purposes, although its registered, legal name, used for contracts and other formal situations, may be another. The applicant must tick the appropriate box to define his/her function, which is the following, depending on the type of shipment:

(a) the holder in case of a shipment between Member States (Type MM) or an export from the Community into a third country (Type ME);

(b) the consignee in case of an import into the Community from a third country (Type

IM);

(c) the person responsible for the shipment in the Member State by which the radioactive waste or spent fuel enters the Community in case of a transit through the Community (Type TT).

(5) **The applicant** must fill in the trade name, address and contact details of the location, where the radioactive waste or spent fuel is held before the shipment, which can be different from the address of the applicant.

(6) **The applicant** must fill in the trade name, address and contact details of the consignee. In case of shipment type IM, this information is identical with item 4.

(7) **The applicant** must fill in the trade name, address and contact details of the location, where the radioactive waste or spent fuel will be held after the shipment, which can be different from the address of the consignee.

(8) **The applicant** must complete all fields either by ticking the appropriate box (more than one answer is possible) or filling in the specific characteristics and values of the radioactive waste or spent fuel. These values may be estimates in case of several shipments.

(9) **The applicant** must complete item 9, the values may be estimates.

(10) **The applicant** must tick and define the type of activity giving rise to the radioactive waste or spent fuel and tick the appropriate box(es) or specify any other activity. More than one answer is possible.

(11) **The applicant** must define the purpose of the shipment and tick the appropriate box (only one answer is possible) or specify any other purpose.

(12) **The applicant** must list up, as planned, the different modes of transport foreseen for the shipment (road, rail, sea, air, inland waterway) and add accordingly the relevant point of departure, point of arrival and the planned carrier (if already known). Changes of this schedule at a later point during the application procedure are possible and should be notified to the competent authorities but do not require a new application for approval**.**

(13) **The applicant** must list up all countries concerned in the shipment, starting with the first Member State or third country where the radioactive waste or spent fuel is held and ending with the last Member State or third country, where it will be held after the completion of the shipment. Should the applicant whish to change the sequential list of countries, a new application is required.

(14) **The applicant** must declare who takes back the radioactive waste or spent fuel in case the shipment(s) cannot take place or if the conditions for shipment cannot be fulfilled. Where the shipment is of type IM or TT, the applicant must attach to the application evidence of an arrangement between the consignee in the Member State or third country of destination and the holder of the radioactive waste or spent fuel in the third country which has been approved by the competent authorities of the third country.

Having completed items 1 to 14 **the applicant** must send the section 1 of the standard document to the competent authority, which is responsible for issuing the authorisation for the shipment.

The **competent authority responsible for issuing the shipment authorisation** or refusal is the following, depending on the type of the shipment is:

• the competent authority of the Member State of origin in case of shipments between

Member States (Type MM) and exports out of the Community (Type ME);

• the competent authority of the Member State of destination in case of an import into the

Community (Type IM);

• the competent authority of the first Member State of transit, where the shipment enters the

Community in case of a transit through the Community (Type TT).

The relevant contact data can be retrieved from the electronic communication platform established and maintained by the Commission or from the published list of competent authorities.

(15) Immediately upon receipt of the application, the **competent authority responsible** for issuing the authorisation for the shipment must

(a) enter the registration number at the top of each section of the standard document, starting with section 1.

(b) verify that all items of section 1 have been duly completed by the applicant,

(c) complete item 15 of section 2 and make sufficient copies of sections 1, 2 and 3 for each Member State or Country concerned. Third countries of transit are consulted for information only.

(16) The **competent authority responsible** for the authorisation must

(a) complete, as appropriate, item 16 of section 2 (and 18 of section 3) for each competent authority of the Member States or Countries concerned as listed in item 13, whose consent is required for the shipment(s) to be authorised, and

(b) send the duly completed application (section 1) together with section 2 – without delay – for consent to each competent authority concerned named in item 16.

(17) Item 17 to be completed by the **competent authority of the Member State(s) concerned**.

The date of the application and of receipt must be entered immediately upon receipt of the application. Within 20 days after the date of receipt the competent authority of the Member

States concerned must verify, if the application is duly completed (all items 1 to 14 must be completed and no information may be missing; some values may be estimates). Only 17(a) or

17(b) can apply, please delete as applicable.

(a) If the competent authority of the Member State(s) of transit, if any, or of destination consider the application not duly completed, they must complete item 17(a), delete item 17(b) and notify their request for missing information to the competent authority responsible for issuing the authorisation (referred to as in item 15). They have to clearly state, which information is missing (fill in or attach document). The competent authority requesting the missing information must send copies of section 2 to all other competent authorities of the Member States concerned referred to as in item 13 within a period of 20 days from the date of receipt of the application. The relevant contact data can be retrieved from the electronic communication platform established and maintained by the Commission or from the published list of competent authorities. If one Member State concerned considers the application not duly completed, the procedure is stopped. In this case, even if the competent authority of Member State of destination considers the application duly completed, they may not send an acknowledgement of receipt until the requested information has been received and no further request has been sent within 10 days after the receipt of the missing information. This procedure may be repeated until all missing information has been received and no further requests for missing information have been sent.

Not later than 10 days after expiry of the 20 days from the receipt of application, if no request for missing information has been received within the 20-days period and if the competent authority of the Member State concerned deems the application duly completed, it must send section 2 to the competent authority responsible for the authorisation as referred to as in item 15 and copies thereof to all other competent authorities of the Member States concerned as referred to as in item 13. The relevant contact data can be retrieved from the electronic communication platform established and maintained by the Commission or from the published list of competent authorities.

Shorter periods of time can be agreed between all competent authorities of the

Member States concerned.

(b) In order to allow the competent authorities to request missing information within a period of 20 days after the receipt of the application, **the competent authority of the Member State of destination** must not issue their acknowledgement of receipt before the expiry of the 20 days deadline. Upon expiry of the 20-days deadline, if the competent authority of the Member States of destination consider the application duly completed and if either no other Member States are concerned or no other competent authority concerned requested missing information, it (the competent authority of the Member States of destination) must complete item 17(b).

(18) Immediately upon receipt of the acknowledgement of receipt of a duly completed application of the competent authority of the Member State of destination the **competent authority responsible for the authorisation** must verify if the deadlines have been respected, and complete item 18 of section 3 for each Member State concerned, as listed in item 13, whose consent is required for the shipment(s) to be authorised.

The competent authority concerned must make necessary additional entries in item 18.

(19) The **competent authority responsible for the authorisation** must fill in the generaldeadline forautomaticapproval, which applies to all Member States concerned. This expiry date is in general two months after the date of acknowledgement of receipt of the Member State of destination as referred to as in item 17(b). Then the competent authority responsible for the authorisation has to send section 3 on the consent or refusal to all Member States or Countries concerned.

Immediately upon receipt of section 3, **any competent authority concerned** must decide if a further period of time is needed to decide upon refusal or consent to the shipment. An additional period of up to one month may be requested by deleting the general deadline in item

19, filling in the new deadline and notifying the extended deadline to all competent authorities concerned.

(20) The **competent authority concerned** must give the application due consideration. Not later than the expiry of the deadline for the automatic approval, the competent authority concerned must complete item 20 and return the original copy of section 3 (scanned original if sent by e- mail) to the competent authority responsible for issuing the authorisation (as referred to as in item 15). In case consent is refused, reasons must be given and must be based (for Member States of Transit) on the relevant national, Community or international legislation applicable to the transport of radioactive material or - for Member States of destination - on relevant legislation applicable to the management of radioactive waste or spent fuel or on relevant national, Community or international legislation applicable to the transport of radioactive material. Any conditions imposed must not be more stringent than those laid down for similar shipments within Member States. Failure to complete and return the standard document by the due time shall be taken as deemed consent to the shipment application, subject to Article 9 (2) of Directive 2006/117/Euratom.

(21) The **competent authority responsible for issuing the shipment authorisation** must complete items 21 to 23, when all consents necessary for the shipment have been given by the competent authorities concerned, bearing in mind, that tacit consent is deemed to be given only provided that

(a) the acknowledgement of receipt has been received (at least) from the competent authority of the Member State of destination (as referred to as in item 17(b), and

(b) no request for missing information have been left unanswered, and

(c) no reply (neither consent nor refusal) has been received within the applicable deadlines as referred to as in item 19 from the competent authorities concerned.

(22) The **competent authority** referred to as in item 21 must list up or attach, if space provided is not sufficient, all consents (incl. conditions) and refusals (incl. reasons) received, if any, from all competent authorities concerned.

(23) The **competent authority** referred to as in item 21 must

(a) complete item 23 bearing in mind that the maximum period of validity for the authorisation is three years and that a single authorisation may cover more than one shipment, where the conditions set out in Article 6(2) of the Directive

2006/117/Euratom are met,

(b) send the original of section 4a to the applicant together with the sections 1, 4a, 5 and 6 and

(c) send copies of section 4a to all other competent authorities concerned.

(24) The **competent authority responsible for issuing the shipment authorisation** must complete items 24 to 25, if at least one of the competent authorities concerned did not give their consent to the shipment.

(25) The **competent authority** as referred to in item 24 must list up or attach all consents and refusals received, including all conditions and reasons for refusal and send the original copy of section 4b to the applicant and copies of this section to all other competent authorities concerned.

(26) If the shipment(s) has(ve) been authorised and **the applicant** has received sections 4a, 5 and 6, he/she must duly complete item 26. In case the application covers several shipments, he/she must make sufficient copies of section 5 for each shipment.

(27) **The applicant** must tick the appropriate box, indicating whether the authorisation covers a single shipment or several shipments. In case of several shipments, the appropriate serial number must be filled in.

(28) Before each shipment **the applicant** must duly complete item 28 to 30 (even if the authorisation relates to several shipments). In this section, the values must not be estimates!

(29) **The applicant** must duly complete item 29 (list of packages) and indicate at the bottom the total number of packages, the total number of each type of package, the total net mass, the total gross mass and the total activity (GBq) of all packages. If the place provided in the document is not sufficient, please attach a separate list with the information requested.

(30) **The applicant** must complete item 30 (date of dispatch and declaration) before each shipment of radioactive waste of spent fuel (even if the authorisation relates to several shipments). Together with sections 1 and 4a, section 5 accompanies the radioactive waste or spent fuel while it is being shipped. The description of consignment and list of packages (section 5) is then attached to the section 6 (acknowledgement of receipt).

(31) **The consignee** (in case of MM and IM shipments), **the holder** (in case of ME shipments) or

**the person responsible for the shipment** (in case of TT shipments) must duly complete items

31 to 35 (36, if applicable); any necessary additions being made by **the applicant**. However, a

consignee located outside the European Community may acknowledge receipt of the radioactive waste or spent fuel by means of a declaration separate from the standard document.

(32) **The consignee** must duly complete the name, address and contact details of the place where the radioactive waste or spent fuel is held after the shipment.

(33) **The consignee** must complete item 33 (as referred to as in item 23) and indicate if the shipment received is the last shipment covered by the authorisation.

(a) In cases where the authorisation covers a singleshipmentofthetypesMMorIM, **the consignee** must complete section 6 within 15 days of receiving the radioactive waste or spent fuel and submit sections 5 and 6 to the competent authority of the Member State of destination. The **competent authority of the Member State of destination** then forward copies of sections 5 and 6 to the other competent authorities concerned (and, where appropriate, the original of these two sections to the competent authority who issued the authorisation). For shipments of the type MM, the **competent authority of the Member State of origin** must send a copy of the acknowledgement of receipt to the holder.

(b) In cases where the authorisation covers a single shipment of type ME or TT the applicant must ensure that the consignee located outside the European Community sends him section 5 and section 6 duly completed immediately on receipt of the radioactive waste or spent fuel. Section 6 may be replaced by a declaration on the part of the consignee providing at least the information contained in items 31to 36. Within

15 days after receipt of the radioactive waste or spent fuel, the applicant must forward section 5, section 6 (if the consignee did not use section 6, the applicant must

complete it) and, where applicable, the consignee's declaration, to the competent

authority which issued the authorisation. This authority must then send copies of sections 5 and 6, and, where applicable, the consignee's declaration, to the other competent authorities concerned.

(c) In cases where the authorisation covers severalshipmentsofthetypesMMorIM, **the consignee** must complete section 6 after each shipment (having made several copies of a blank section 6 for this purpose) and submit this section directly to the competent authority which issued the authorisation. The consignee attaches section 5 relating to the same shipment.

(d) In cases where the authorisation covers severalshipmentsofthetypesMEorTT **the applicant** must ensure that after each shipment the consignee located outside the European Communities completes for each shipment a (blank) copy of section 6 and returns it together with the appropriate section 5.

(34) **The consignee** must tick "not applicable" or complete item 34 for shipments of type ME or

TT or attach a separate declaration and give the reference to the attachment

(35) **The consignee** must complete item 35 when the single shipment or all the shipments covered by the authorisation have been carried out. In cases where the authorisation covers several shipments the final acknowledgement of receipt is completed and submitted as if the authorisation were valid for a single shipment only except that:

(a) It is stated in item 30 of section 6 that the shipment in question is the last shipment covered by the authorisation.

(b) Any declaration made by a consignee located outside the European Communities must state that all the radioactive waste or spent fuel covered by the shipment authorisation has indeed arrived.

**The consignee** must send the section 6 (acknowledgement of receipt) together with section 5 depending on the type of shipment to the competent authority of the Member State of destination in case of Type MM or IM shipments, or to the applicant as

referred to as in item 5 (section 1) in case of a Type ME or TT shipment. To provide an overview, the section 6 for each of the shipments covered by the authorisation must be attached to the final acknowledgement of receipt.

(36) The consignee must tick "not applicable" or complete item 36 in case of shipments of type ME or TT, or replace it by a separate declaration, referring to the attachment. The applicant must forward sections 5 and 6 to the authority which issued the authorisation. To provide an overview, the section 6 for each of the shipments covered by the authorisation must be attached to the final acknowledgement of receipt.