

The European Research Council

*Horizon Europe
Grant
Agreement
Preparation (GAP)*



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ERC NCP MEETING 30/11/2021*

Overview - GAP



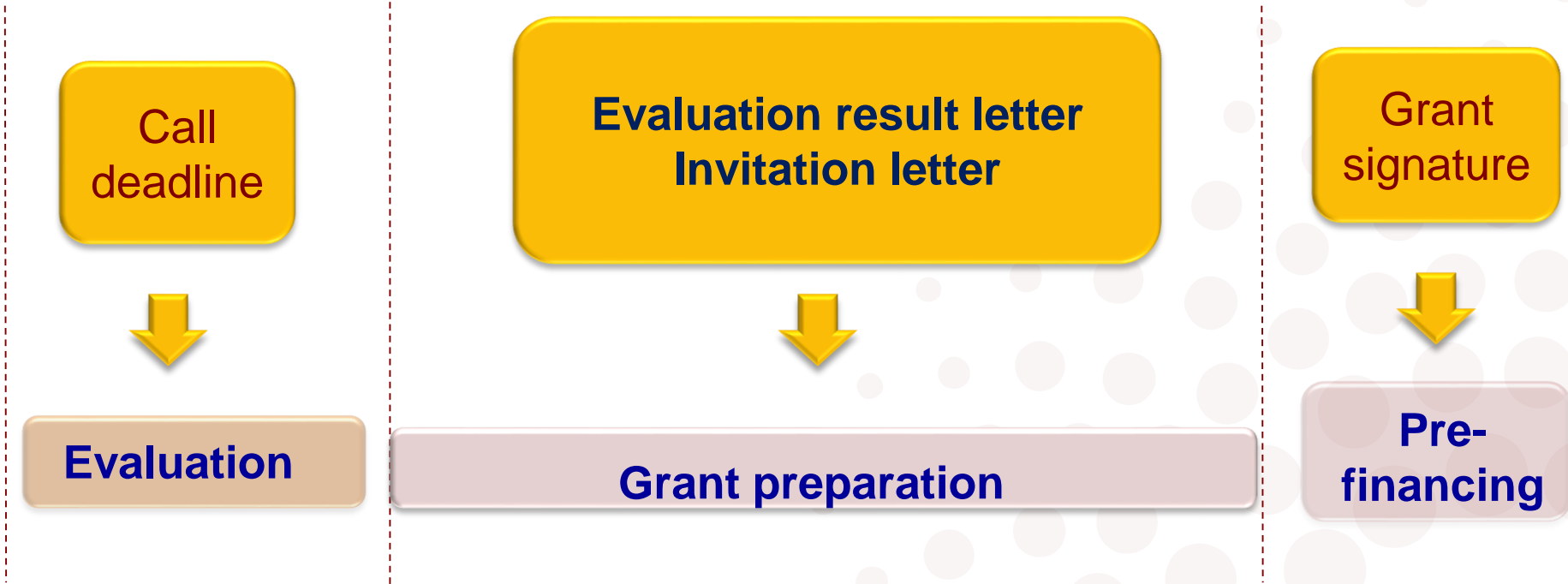
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- **BEFORE LAUNCHING THE GAP**
 - Evaluation Result Letter
- **INVITATION LETTER**
- **START OF THE GAP – Preparation of the GA**
- **VERIFICATION AND SIGNATURE**

Grant Agreement Preparation (GAP)



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Grant Agreement Preparation

Preparation of the Grant Agreement (GA)



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- Quality & Consistency checks done by the PO
- Exchange with the PI/PI
- Review (also with Scientific and Ethics Officers)

Grant Agreement Preparation

How does it start ?



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- **Invitation Letter - Online : Funding & Tender opportunities**
- Instructions to PI/PI
- Beneficiaries must provide documents within 2 weeks following the invitation letter
- Grant agreement expected to be signed in 3 months
- PO (preliminary comments) - **Preliminary Meeting**

Recurrent Issues



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- PI employment status
- Supplementary Agreement
- Change of Host Institution
- Procedure for Financial Capacity Check
- Additional funding
- Additional beneficiaries/Third parties
- Starting date
- ORD and Researchers tab



European
Commission

Horizon 2020
European Union funding
for Research & Innovation

Grant Agreement Preparation Goals



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- Key points
 - ➔ Start date
 - ➔ PI situation
 - ➔ Resources
 - ➔ Potential external support (Third parties, Subcontracting...)
- The PI cannot change **the science** in the meantime (from evaluation till granting phase): mandatory statement of changes to upload in the Portal

Additional funding



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Novelty: 2 new budget categories in Annex 2:

- **Additional funding with overheads** - Art.6.2.D.7: ERC additional funding: start-up costs, major equipment, access to large facilities, major experimental and field work costs
- **Additional funding without overheads** - Art.6.2.D.8 - ERC additional funding: subcontracting and internally invoiced goods and services

Supplementary Agreement

- SA based on Horizon Europe ERC template (available in the AGA – ERC section).
 - ✓ The HI may modify the template, but should not introduce clauses contradictory to the GA.
- Data related to the project, HI and PI are correct.
- SA is signed by the employer of the PI if the PI is not employed by the HI but by a third party.

ORD and Researchers tab

➤ Open Research data

- **NEW HE: Mandatory (PoC LS included)** – opt-out is NOT possible
- Data management plan (DMP) is to be provided at month 6
- DMP is a deliverable of the project

➤ RESEARCHERS tab in SyGMA (New tab)

- Mandatory
- At least PI data has to be encoded

Grant Agreement Preparation

Key point : Start date



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- **First day of the month following the signature of the GA**

OR

- **Fixed start date:** within 6 months from invitation letter
 - ✓ **Exceptions:** Maternity/Paternity leave, Relocation to EU/AC
- Two ERC grants **cannot run in parallel**
- The granting process: **ethics issues** are cleared

Grant Agreement Preparation

Best practices



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- PO can be consulted at any time for any clarification (doubts about eligibility of costs, involvement of other entities, starting dates),
- Annotated Grant Agreement
- Respect of deadlines (e.g. submission of documents within 2 weeks)
- Follow PI/PI events

Documents to consult



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- HE Model grant agreement (version 0.1 – 01/06/2021): [general-mga_horizon-euratom_en.pdf \(europa.eu\)](#)
- HE Model grant agreement Lump Sum grant (version 0.1 – 01/06/2021): [ls-mga_en.pdf \(europa.eu\)](#)
- Annotated Grant Agreement: Pre-draft (23/07/2021): [aga_en.pdf \(europa.eu\)](#)



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THANK YOU!!!